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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number:  
**1662/50308**

Application Number  
**09/997,126**

Filing Date  
**November 29, 2001**

Examiner  
**Not Yet Assigned**

Art Unit  
**1614**

Invention Title

**NOVEL CRYSTAL FORMS OF  
ATORVASTATIN HEMI-CALCIUM AND  
PROCESSES FOR THEIR PREPARATION  
AS WELL AS NOVEL PROCESSES FOR  
PREPARING OTHER FORMS**

Inventors

**Judith ARONHIME et al.**

Address to:  
Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on

Date: Oct 17, 2002

Signature: Paul Johnson  
Paul Johnson (Reg. No. 35,559)

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 35 U.S.C. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.
3. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A copy of this communication is enclosed for charging purposes.

Dated: October 17, 2002

By: Paul Johnson  
Paul Johnson (Reg. No. 35,559)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT PTO-1449</b>	<b>ATTY. DOCKET NO.</b> 1662/50308	<b>SERIAL NO.</b> 09/997,126
	<b>APPLICANT</b> Judith ARONHIME et al.	
	<b>FILING DATE</b> November 29, 2001	<b>GROUP</b> 1614

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	4,681,893	July 21, 1987	Roth			
	5,273,995	December 28, 1993	Roth			
	6,121,461	September 19, 2000	McKenzie			
	5,969,156	October 19, 1999	Briggs et al.			
	6,087,511	July 11, 2000	Lin et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 97/03959	February 6, 1997	PCT			x	
	WO 97/03958	February 6, 1997	PCT			x	
	WO 01/36384	May 25, 2001	PCT			x	

**OTHER DOCUMENTS**

EXAMINER INITIAL		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
		Thomas M.A. Bocan et al. "Antiatherosclerotic activity of inhibitors of 3-hydroxy-3-methylglutaryl coenzyme A reductase in cholesterol-fed rabbits: a biochemical and morphological evaluation", Atherosclerosis 111 (1994) pp. 127-142.
		Declaration Under Rule 132 by Thomas M.A. Bocan, dated Dec. 2, 1998, filed in U.S. Patent Application Serial No. 08/945,812; 2 pages
		Declaration Under Rule 132 by Stephen R. Byrn, dated Nov. 25, 1998, filed in U.S. Patent Application Serial No. 08/945,812; 3 pages

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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